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DOCKET NO. 17432 (HL)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of  
Zhao et al

Serial No: 09/922,226; Conf.  
No. 8912

Filed: August 2, 2001

For: METHODS OF SCREENING FOR  
COMPOUNDS THAT MODULATE  
HORMONE RECEPTOR ACTIVITY

Group Art Unit: Not assigned

Examiner: Not assigned

NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)  
FILING DATE GRANTED

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b) FILING DATE GRANTED, enclosed herewith is the executed Declaration/Power of Attorney in the subject application and formal drawings. Please charge Deposit Account No. 01-0885 in the amount of \$2,930.00 to cover the surcharge for late filing of the Declaration, basic filing fee and additional claim fee. If any additional fee is required, charge Deposit Account No. 01-0885. A duplicate of this letter is enclosed.

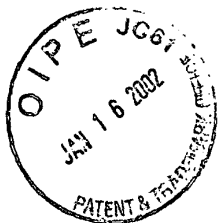
Respectfully submitted,

Carlos A. Fisher  
Registration No. 36,510  
Attorney of Record

Carlos A. Fisher  
ALLERGAN, INC. (T2-7H)  
2525 Dupont Drive  
Irvine, CA 92612  
Telephone: 714/246-4920  
Telecopier: 714/246-4249

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS EXPRESS MAIL (LABEL NO. EL897831460US) IN AN ENVELOPE ADDRESSED TO: INITIAL PATENT EXAMINATION DIVISION-CUSTOMER SERVICE CENTER, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON 1/16/2002 (Date) Name of person making deposit: Bonnie Ferguson  
Signature: Bonnie Ferguson Date 1/16/2002



EL897831460US

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CERTIFICATE OF EXPRESS MAILING

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Specifically, accompanying this communication please find:

- (a) Notice to file Missing Parts
- (b) Transmittal Letter to file Notice to file Missing Parts
- (c) Declaration/Power of Attorney
- (d) Transmittal Letter to file formal drawings
- (e) 22 sheets of formal drawings
- (f) Postcard
- (g) Certificate of Express Mailing
- (h) Extension of Time

Respectfully submitted,

Date: 1/16/2002

Bonnie Ferguson  
Bonnie Ferguson

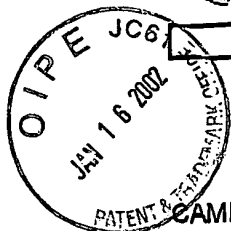
ALLERGAN, INC. -T2-7H  
2525 Dupont Drive  
Irvine, CA 92612  
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Fax: 714-246-4249

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Signature: <u>Bonnie Ferguson</u>	Date <u>1/16/2002</u>



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,226	08/02/2001	Yi Zhao	P-AR 4681

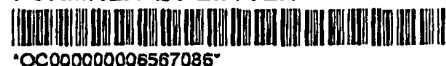
CAMPBELL & FLORES LLP  
7th Floor  
4370 La Jolla Village Drive  
San Diego, CA 92122

RECEIVED

SEP 24 2001

CONFIRMATION NO. 8912

FORMALITIES LETTER



\*OC00000006567086\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2090.
  - \$1260 for 70 total claims over 20.
  - \$560 for 7 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2930.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

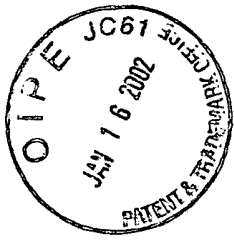


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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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COMPOUNDS THAT MODULATE  
HORMONE RECEPTOR ACTIVITY

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the NOTICE TO FILE MISSING PARTS mailed September 17, 2001, enclosed are twenty-two (22) sheets of formal drawings. Please enter these drawings into the file of the subject application.

Respectfully Submitted,

Carlos A. Fisher  
Registration No. 36,510  
Attorney of Record  
Telephone: 714/246-4920  
Facsimile: 714/246-4249

Carlos A. Fisher (T2-7H)  
Allergan, Inc.  
2525 Dupont Drive  
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